

Audit and Governance Committee

Meeting to be held on Monday, 25 September 2017

Electoral Division affected: (All Divisions);
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Internal Audit Progress Report

(Appendices 'A' - 'C' refer)

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Executive Summary

In the context of the Committee's responsibility to consider updates on the Internal Audit Service's work including key findings, issues of concern and action in hand as a result of internal audit work, the Committee is asked to consider the internal audit progress report and outcomes of the work for the period to 31 August 2017.

Recommendation

The Committee is asked to receive and note the report.

Background and Advice

This report sets out for the Committee the internal audit work performed under the audit plan for 2017/18 approved in June 2017.

Appendix 'A' to this report highlights key issues that the Audit and Governance Committee should be aware of in fulfilling its role of providing independent oversight of the adequacy of the Council's governance, risk management and internal control framework. It highlights the issues arising from the work undertaken during the period to 31 August 2017 under the audit plan for 2017/18, although at this point very little work on the plan has been fully completed.

Appendix 'B' sets out the audit plan for the year and provides brief notes of the progress made on each engagement.

Appendix 'C' sets out the audit assurance levels and classification of residual risks.

Consultations

The Directors of Financial Resources; Legal, Democratic and Governance; and Governance, Finance and Public Services have been consulted on this report.

Implications:

This item has the following implications, as indicated: none.

Risk management

This report supports the Audit and Governance Committee in undertaking its role, which includes providing independent oversight of the adequacy of the Council's governance, risk management and internal control framework.

Local Government (Access to Information) Act 1985**List of Background Papers**

Paper	Date	Contact/Tel
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Reason for inclusion in Part II, if appropriate

N/A